



ACCJC

ACCREDITING COMMISSION FOR
COMMUNITY AND JUNIOR COLLEGES
WESTERN ASSOCIATION OF SCHOOLS AND COLLEGES

Guidelines for Preparing Institutional Reports to the Commission

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Background

Institutions must meet the Eligibility Requirements, Accreditation Standards, and Commission policies (hereafter called Standards) at all times. Comprehensive evaluation teams visit every seven years to verify that institutions meet these requirements. However, other reports and visits may be required to verify that colleges have resolved deficiencies noted by evaluation teams and continue to meet the Standards. Institutional reports to the Commission can occur at any time.

A **Midterm Report** is required of all institutions midway between comprehensive evaluation visits (in the third or fourth year). A **Follow-Up Report** is required when an institution must provide evidence that demonstrates it has addressed recommendations, resolved deficiencies identified in evaluation team reports, and meets Standards.

When the Commission receives information that raises significant concerns about an institution, a **Special Report** may be required to provide information about the institution. The Commission will identify the information it is requesting in a formal letter. All reports to the Commission must provide evidence that supports the information in the report and the claims made by the institution.

While not covered in these Guidelines, a Show Cause Report is required when an institution must demonstrate to the Commission why its accreditation should not be withdrawn and that it is in compliance with the Standards. The requirements for the Show Cause Report will be noted in the Commission action letter.

Helpful resources include the Eligibility Requirements, Accreditation Standards, Commission policies, and the *Guide to Institutional Self-Evaluation, Improvement, and Peer Review*.

The Commission reviews institutional reports at its next regularly scheduled meeting and notifies institutions in an action letter as to what further action, if any, the institution must take.

Information for Preparation of All Reports

Presentation of Reports

The institution is required to submit one electronic copy (including evidence) of its reports to the Commission. Colleges may be asked to provide hard copies to accommodate special needs. The electronic version of the report is required to be submitted as a PDF document. The report should include an appendix of evidence supporting the narrative statements made. The evidence listed should be included in the Table of Contents under Appendices. All evidence submitted with reports must be in electronic format (e.g., on a clearly labeled flash drive/USB stick, or using active hyperlinks within the body of the report).

Send the USB flash drive with the report and evidence to:

ACCJC
10 Commercial Blvd. Ste. 204
Novato, CA 94949

Unnecessarily long reports can be difficult to follow. A report should concisely state the institution's resolution of any deficiencies and its current and sustained compliance with Standards. If additional work remains for the future, concrete details, plans, timelines, and outcomes for that work should be included in the report.

If there is a visit by Commission representatives planned in connection with the report, team members must be provided with an electronic copy of the report and evidence in advance of the visit. A roster of the evaluation team members will be sent to the institution, and the institution must send an electronic copy of the report to each team member.

Evidence

Evidence should be provided that clearly supports the institution's claim that it has resolved the deficiencies noted and meets Standards. Citations to large documents, without links to the sections that are supportive of the institution's claims, make it difficult to determine specifically what the institution wishes to have noted. Institutions should carefully select relevant evidence to support the elements of compliance stated in the report narrative.

Evidence should accompany each copy of the college report and should be submitted in electronic format (e.g., flash drive/USB stick, or via active hyperlinks within the body of the report). Links to web pages should be "frozen" at the time of the evaluation visit and checked to ensure they are active. The *Guide to Institutional Self-Evaluation, Improvement, and Peer Review* provides further guidance about selection and presentation of evidence associated with Standards and areas of institutional practice. The best evidence is consistent with the institution's mission, meaningful to the institution's constituents, and used in the institution's ongoing assessment, planning, decision-making, and improvement practices.

Midterm Report

Institutions are required to submit a Midterm Report midway between comprehensive evaluation visits. The Midterm Report includes a report on the work accomplished in response to the improvement recommendations made by the previous comprehensive peer review team, an update of how improvement plans arising out of the institution's self-evaluation were integrated into the institution's ongoing planning and implementation processes, and analysis of longitudinal trend data. Institutions that underwent comprehensive visits after spring 2016 will report on the progress and outcomes from the action projects that were identified in the institution's Quality Focus Essay as described in the Institutional Self-Evaluation Report.

Requirements and Format for Midterm Reports

The following elements are required in a Midterm Report:

- 1. Cover Sheet** (Appendix A)

The Cover Sheet identifies the report as a Midterm Report and includes the name and address of the institution and the date of submission.

- 2. Certification Page** (Appendix B)

The Certification Page certifies there was broad participation in the preparation of the Report and the Report is an accurate reflection of the nature and substance of the institution. The college Chief Executive Officer (CEO), Chair of the Governing Board, and other college personnel as appropriate, sign this certification attesting to the preparation and review of the Report.

- 3. Table of Contents**

The Table of Contents is a listing of the contents of the Report, including appendices.

- 4. Report Preparation**

This section describes the process used to prepare the Report and identifies those who were involved in its preparation.

- 5. Plans Arising out of the Self-Evaluation Process**

This section reports on the self-identified Improvement Plans from the institution's comprehensive self-evaluation process. The institution should describe how these improvement plans were integrated into the appropriate ongoing planning and implementation processes and report on the outcome of those plans. A chart format is appropriate for this section of the report. Any plans still pending for action should be clearly identified with specific timelines for completion and responsible parties.

- 6. Institutional Reporting on Quality Improvements**

For Institutions That Underwent Comprehensive Visits prior to Spring 2016

- 6.A Response to Team Recommendations for Improvement**

Describe the institutional response to the recommendations of the comprehensive evaluation team meant to increase institutional effectiveness (recommendations to improve). The institution should explain the manner in which each recommendation to improve was considered, and what, if anything, was done by the institution as a result of the recommendation.

6.B Data Trend Analysis

Provide the institution's data and analyses of trends from the Annual Reports and Annual Fiscal Reports for the years subsequent to the comprehensive evaluation visit. A Data Reporting Form is provided to facilitate this analysis. Access the form [here](#). Please note you must download the form to your computer in order to use it. The form will not work in an internet browser.

For Institutions That Underwent Comprehensive Visits after Spring 2016

6.A Response to Team Recommendations for Improvement

Description of the institutional response to the recommendations of the comprehensive evaluation team meant to increase institutional effectiveness (recommendations to improve). The institution should explain the manner in which each recommendation to improve was considered, and what, if anything, was done by the institution as a result of the recommendation

6.B Data Trend Analysis

Provide the institution's data and analyses of trends from the Annual Reports and Annual Fiscal Reports for the years subsequent to the comprehensive evaluation visit. A Data Reporting Form is provided to facilitate this analysis. Access the form [here](#). Please note you must download the form to your computer in order to use it. The form will not work in an internet browser.

6.C Report on the outcomes of the Quality Focus Projects

The Quality Focus Essay in the Institutional Self-Evaluation Report identified quality focus projects to improve Student Learning and Achievement. The Midterm Report will identify the Goals and Outcomes identified for each quality focus project and the changes in Student Achievement and Student Learning that resulted from the projects. Of particular interest, if appropriate, is commentary on further expansion of the projects, the potential and intent to expand those projects to other areas of the College, and the ability to replicate these projects. The institution should also identify which, if any, projects did not achieve the desired outcomes and provide information as to the factors that contributed to that outcome.

7. Appendices

All Midterm Reports shall include appropriate evidence to support the information provided in the Report.

Follow-Up Report

The Commission may require a Follow-Up Report at any time between comprehensive evaluation visits. This report includes a narrative analysis and evidence that describes the resolution of deficiencies identified in the Commission action letter; verifies that the Standards are met; and affirms that the institution will sustain the changes/improvements.

Requirements and Format for Follow-Up Reports

The following elements are required to be included in a Follow-Up Report:

- 1. Cover Sheet (Appendix A)**

The Cover Sheet identifies it is a Follow-Up Report and includes the name and address of the institution and the date of submission.

- 2. Certification Page (Appendix B)**

The Certification Page certifies there was broad participation in the preparation of the Report and the Report is an accurate reflection of the nature and substance of the institution. The college Chief Executive Officer (CEO), Chair of the Governing Board, and other college personnel as appropriate, sign this certification attesting to the preparation and review of the Report.

- 3. Table of Contents**

The Table of Contents is a listing of the contents of the Report, including appendices.

- 4. Report Preparation**

This section describes the process of report preparation and identifies those who were involved in its preparation.

- 5. Response to the Commission Action Letter**

Each deficiency identified by the Commission in its action letter must be resolved. The Report must provide a narrative analysis and evidence that demonstrates the institution has addressed each recommendation and resolved the associated deficiencies. It must also demonstrate that the Standards are met and affirm that the institution will sustain the changes/improvements.

- 6. Appendices**

The Follow-Up Report shall include appropriate evidence to support the information provided in the Report.

Special Report

As provided for in Commission policy,¹ the Commission may require a Special Report when it receives information that raises significant concerns about an institution's compliance with the Standards. The specific requirements of a Special Report will be contained in a letter from the Commission to the institution.

Requirements and Format for Special Reports

The following elements are required to be included in a Special Report:

1. Cover Sheet (Appendix A)

The Cover Sheet identifies it is a Special Report and includes the name and address of the institution and the date of submission.

2. Certification Page (Appendix C)

The Certification Page certifies that the report is an accurate reflection of the nature and substance of the institution. The college Chief Executive Officer (CEO) and the Chair of the Governing Board, and other college personnel as appropriate sign this certification attesting to the preparation and review of the report.

3. Table of Contents

The Table of Contents is a listing of the contents of the report, including appendices.

4. Report Preparation

This section describes the process of report preparation and identifies those who were involved in its preparation.

5. Response to the Commission Letter

Each issue identified by the Commission in its letter to the institution must be addressed in the Special Report.

6. Appendices

The Special Report shall include appropriate evidence to support the information provided in the Report.

¹ Policy on Student and Public Complaints against Institutions
Policy on Rights and Responsibilities of ACCJC and Member Institutions
Policy on Commission Good Practice in Relations with Member Institutions

Appendix A
Institutional Report - Sample Cover Sheet

SAMPLE

Appendix B Midterm Report or Follow-Up Report – Sample Certification Page

(To be inserted in the Midterm/Follow-Up Report following the Cover Sheet)

To: Accrediting Commission for Community and Junior Colleges,
Western Association of Schools and Colleges

From:

(Name of Chief Executive Officer)

(Name of Institution)

(Address)

I certify there was broad participation/review by the campus community and believe this report accurately reflects the nature and substance of this institution.

Signatures:

(Chief Executive Officer) (Date)

(Chairperson, Governing Board) (Date)

(Name, Title, Representing) (Date)

Appendix C Special Report – Sample Certification Page

(To be inserted in the Special Report following the Cover Sheet)

To: Accrediting Commission for Community and Junior Colleges,
 Western Association of Schools and Colleges

From:

(Name of Chief Executive Officer)

(Name of Institution)

(Address)

I certify there was broad participation/review by the campus community and believe this Report accurately reflects the nature and substance of this institution.

Signatures:

(Chief Executive Officer) *(Date)*

(Chairperson, Governing Board) *(Date)*

(Name, Title, Representing) *(Date)*